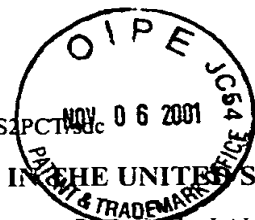


Docket No.

211319US2PCT199c



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Pierre-Andre LAURENT

SERIAL NO: 09/868,918

GAU: 2681

FILED: July 11, 2001

EXAMINER:

FOR: SHORT WAVE HIGH EFFICIENCY RADIO BROADCASTING TRANSMITTER FOR DIGITAL TRANSMISSIONS

RS

4
12-7-01

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RECEIVED

NOV 09 2001

SIR:

Applicant(s) wish to disclose the following information.

Technology Center 2600

REFERENCES

- ☒ The applicant(s) wish to make of record the references, some of which are cited in the attached International Search Report listed on the attached form PTO-1449. Copies of reference(s) AA and AP, have been received by the U.S. PCT Receiving Office from the International Bureau as acknowledged in the Notification of Acceptance.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or Patent No.</u> | <u>Filing or Issue Date</u> | <u>Status or Patentee</u> |
|----------------------|-----------------------------|-----------------------------|---------------------------|
| PER CLIENT | 4,027,261 | 05/31/77 | LAURENT et al. |
| PER CLIENT | 4,382,232 | 05/03/83 | LAURENT |
| PER CLIENT | 4,905,256 | 02/27/90 | LAURENT |
| PER CLIENT | 4,982,341 | 01/01/91 | LAURENT |
| 0154-0530-2 | 4,603,393 | 07/29/86 | LAURENT et al. |
| 0154-0880-2 | 4,799,241 | 01/17/89 | LAURENT |
| 0154-0898-2 | 4,852,098 | 07/25/89 | BRECHARD et al. |
| 0154-0902-2 | 4,888,778 | 12/19/89 | BRECHARD et al. |
| 0154-1161-2 | 5,016,278 | 05/14/91 | ROCHETTE et al. |
| 0154-1193-2 | 4,945,312 | 07/31/90 | AUGER et al. |
| 0154-1444-2 | 5,243,685 | 09/07/93 | LAURENT |
| 0154-1684-2 | 5,313,553 | 05/17/94 | LAURENT |
| 0154-1824-2 | 5,522,009 | 05/28/96 | LAURENT |
| 0154-2644-2 PCT | 6,016,469 | 01/18/00 | LAURENT |
| 0154-2807-2 PCT | 09/308,651 ✓ | 06/01/99 | PENDING |
| 0154-2884-2 | 09/512,467 ✓ | 02/24/00 | PENDING |
| 198307US2 | 09/686,873 ✓ | 10/12/00 | PENDING |
| 198823US2 PCT | 09/674,011 ✓ | 10/24/00 | PENDING |
| 211319US2 PCT* | 09/868,918 | 07/11/01 | PENDING |

*Present application; listed for information.

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|---------------------------------------|--|--|--|-----------------------------------|--|--------------------------|--|
| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 211319US2PCT | | SERIAL NO. 09/868,918 | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Pierre-Andre LAURENT | | | |
| | | | | FILING DATE July 11, 2001 | | GROUP 2681 | |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-----------------|-----------|------------|---------------------|-----------|----------------------------|--|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | |
| | AA | 5,438,686 | 08/01/95 | P. GEHRI, et al. | | | |
| | AB | 4,027,261 | 05/31/77 | P. LAURENT, et al. | | | |
| | AC | 4,382,232 | 05/03/83 | P. LAURENT | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-----------------|-----------|----------|-------------|----|
| | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
| | | | | YES | NO |
| | AP | 0 482 502 | 04/29/92 | EUROPE | |
| | AQ | | | | |
| | AR | | | | |
| | AS | | | | |
| | AT | | | | |
| | AU | | | | |
| | AV | | | | |
| | AW | | | | |

| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | |
|---|---------------------------------------|
| AX | English Abstract of WO 98/26546, 1998 |
| AY | |
| AZ | |

| | |
|----------|-----------------|
| Examiner | Date Considered |
|----------|-----------------|

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.